

Brendan O'Brien

Partner

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Brendan practices in all aspects of corporate and securities law, with a particular focus on securities regulation, public and private offerings, and general corporate matters.

Prior to joining Sullivan, Brendan was an associate at a New York law firm where he represented financial institutions and issuers in a variety of capital raising transactions including IPOs, Registered Direct offerings, At-the-Market offering programs, PIPEs, uplistings and private placements. Prior to that, Brendan was an Associate Principal Analyst/Attorney at FINRA in New York and was an associate at a law firm specializing in broker-dealer representation.

Brendan received his J.D. from St. John's University School of Law and his B.A. in English Literature from Loyola University.

Education

- St. John's University School of Law (J.D.)
- Loyola University Maryland (B.A., *cum laude*)

Bar & Court Admissions

- New York

Representative Client Work

- Represented five sales agents in an at the market offering for a data center developer for up to \$125,000,000

Viewpoints

June 5, 2026

Sullivan & Worcester Submits Rebuttal Comment Letter to SEC on Nasdaq's Proposed Market Value Listing Requirement

Capabilities

- Corporate
- Capital Markets

May 28, 2026

Sullivan & Worcester Submits Supplemental Comment Letter to SEC on Nasdaq's Proposed Market Value Listing Requirement

February 19, 2026

Nasdaq Considers a New \$5 Million Market Value Threshold for Continued Listing: What Issuers Need to Know

February 17, 2026

Sullivan & Worcester Submits Comment Letter to SEC on Nasdaq's Proposed Market Value Listing Requirement