

Christopher K. Shields

Partner

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Chris is an experienced litigator who represents clients in federal and state court, as well as in arbitration. He advises and represents clients in the financial services and technology industries in a wide range of disputes, including complex commercial, securities, banking, intellectual property, and shareholder matters.

Chris also has experience advising clients regarding regulatory matters and representing companies and individuals in connection with investigations and enforcement actions. Prior to the joining the firm, Chris was a litigation associate at another international law firm in New York.

Education

- Columbia Law School (J.D., *Harlan Fiske Stone scholar*)
- Colgate University (B.A., *magna cum laude*)

Bar & Court Admissions

- New York
- U.S. District Court, Southern District of New York
- U.S. District Court, Eastern District of New York

Awards & Honors

- "Rising Star" New York Metro *Super Lawyers* (2026)
- Recommended by *The Legal 500 U.S.* (2026)

Representative Client Work

- Obtained summary judgment and award of \$3 million on behalf of creditor in breach of contract case
- Successfully argued dismissal motion on behalf of leading technology company in federal suit concerning alleged interference with merger agreement (all claims dismissed)
- Successfully challenged personal jurisdiction in federal suit for alleged breach of contract

Capabilities

- Complex Commercial & Financial Disputes
- Litigation
- Government Investigations & White Collar Defense
- Securities Litigation
- IP Litigation
- Sports
- Small-Firm Task Force
- Shareholder Activism, Hostile Transaction and Proxy Defense

- Represented broker-dealer in connection with SEC investigation
- Defended financial institutions in civil actions regarding alleged participation in fraudulent schemes and receipt of fraudulent transfers
- Represented plaintiffs in pro bono civil rights action regarding discriminatory policing by sheriff's department, resulting in entry of landmark consent decree

Viewpoints

December 2, 2025

FINRA's Small-Cap Sweep: Strategic Steps for Broker-Dealers

December 14, 2022

Caught in a Revolving Door: The Supreme Court Determines Who Owes Honest Services

Sullivan Client Alert

June 24, 2022

A Better Way To Consider Collateral Consequences of Conviction

New York Law Journal

November 4, 2019

First Circuit Sees Fit To Reject Defamation Claim Arising From Employee Evaluation

Law.com