

Joshua F. Stein

Associate

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Josh is a corporate associate in Sullivan's New York office. He earned his J.D. from the Boston University School of Law, where he was a staff member on the Review of Banking and Financial Law, treasurer of the Jewish Law Students Association, a member of the Business Law Society and a participant in the ABA Negotiation Competition. Additionally, Josh served as a research assistant for Professor Marni Caputo.

Josh began at Sullivan as a summer associate in 2022. Prior to this experience, Josh was a legal intern for the Massachusetts Securities Division. Prior to law school, Josh worked for Thrivent Financial as a financial advisor and analyst. Josh is also an active volunteer with the Orphaned Starfish Foundation, a 501(c)(3) non-profit organization focused on developing vocational centers for orphans, victims of abuse and at-risk youth.

Education

- Boston University School of Law (J.D.)
- Tulane University (B.A., *cum laude*)

Bar & Court Admissions

- Massachusetts

Professional Qualifications

- Boston Bar Association

Viewpoints

June 1, 2026

SEC Proposes Significant Changes to Public Company Reporting Rules, Including New Filer Categories and Expanded Disclosure Relief

Capabilities

- Corporate
- Mergers & Acquisitions

February 24, 2025

SEC Approves NYSE and NYSE American Rule Changes Regarding Minimum Price Criteria Compliance Periods and the Use of Reverse Stock Splits

February 18, 2025

Nasdaq Changes Rules Regarding Minimum Bid Price Compliance Periods and Restricts the Use of Reverse Stock Splits