Meghan Rohan

Of Counsel

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Meghan represents companies and individuals in investigations and administrative proceedings before securities regulators including the Securities and Exchange Commission, the Financial Industry Regulatory Authority and state regulators. She has also led internal investigations on behalf of corporate clients.

Meghan has also represented companies and senior management in actions brought by the SEC, FINRA, Federal Government, former employees and private parties, spearheading all aspects of litigation from pre-trial to appeal.

Prior to joining Sullivan, Meghan served as Principal Counsel in FINRA's Department of Enforcement.

Education

- Boston University School of Law (J.D., *cum laude*)
- Kenyon College (B.A., *magna cum laude and phi beta kappa*)

Bar & Court Admissions

- New York

Capabilities

- Capital Markets
- Government Investigations & White Collar Defense
- Litigation
- Regulatory Compliance
- Securities Litigation
- Small-Firm Task Force

Viewpoints

December 2, 2025
FINRA’s Small-Cap Sweep: Strategic Steps for Broker-Dealers

October 24, 2025
FINRA Launches Targeted Review of Small-Cap Foreign Offerings

November 8, 2024
How I Made Partner: 'Focus on Being Excellent, Taking Responsibility and Ownership of Matters,' Says Meghan Rohan of Sullivan & Worcester

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February 27, 2024
“What? I’m a Dealer?”: New SEC Definitional Rules Add to the Confusion
Sullivan Client Alert

April 3, 2023
The SEC’s Stacked Deck Is News to Unsuspecting 'Dealers'
New York Law Journal

March 20, 2023
SEC’s Proposed Changes to Regulation S-P Would Overhaul Records and Information Requirements of Covered Institutions
Sullivan Client Alert