Michael D. Davalla

Counsel

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Michael Davalla is an experienced investment management attorney who advises registered investment companies, investment advisers and fund managers regarding regulatory and compliance issues. Mike's clients span the full spectrum of registered fund products, including exchange-traded funds (ETFs), subadvised funds, closed-end funds and business development companies.

Mike has extensive experience guiding fund restructurings and reorganizations, including fund mergers, shell reorganizations, liquidations, ETF conversions, and the launch of new funds and share classes. His background also includes a long-term secondment to a leading asset manager, where he was primarily responsible for overseeing the in-house legal function, ensuring regulatory compliance for more than 35 mutual funds and ETFs.

Before joining Sullivan, Mike was counsel in the asset management and investment funds group at K&L Gates in Boston. Previously, he was an assistant vice president and investor services associate counsel at one of the largest private investment banks in the U.S.

**Michael is not admitted to practice in Washington, D.C.*

Education

- Suffolk University Law School (J.D., *cum laude*)
- Fordham University (B.A.)

Bar & Court Admissions

- Massachusetts

Viewpoints

July 22, 2026

Capabilities

- Corporate
- Investment Advisers, Commodity Trading Advisors & Institutional Investors
- Investment Management
- Real Estate, Alternative & Offshore Investment Funds
- Registered Funds & Independent Trustees
- Regulatory Compliance

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SEC Proposes New Regulation E-Delivery

May 28, 2026
If Adopted, Proposed SEC Rules Should Make it Easier for More Closed-End Funds and BDCs to Register and Offer their Securities

May 1, 2026
SEC Broadens Closed-End Fund/BDC Co-Investment Relief to Open-End Funds