

Roy C. Andersen

Of Counsel

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Roy focuses on bank regulatory and compliance matters, including international banks and their branches and agencies in New York. He has been involved in the formation of these offices, including drafting, filing and supporting the necessary applications. Upon establishment, he has advised on the application of Federal and New York laws with respect to their activities and operations. For over 10 years, Roy was responsible for and worked closely with the large compliance teams at two foreign banks.

Education

- Boston College (B.A., *magna cum laude*)
- Boston College Law School (J.D., *cum laude*)

Bar & Court Admissions

- New York

Professional Qualifications

- Institute of International Bankers' Legal and Regulatory Committee and Compliance Officers' Committee
- Association of the Bar of the City of New York's Banking Law Committee and the Banking Law Committee of the New York State Bar Association

Awards & Honors

- *The Legal 500 U.S.* (2020)

Matters

- Represented over 10 foreign banks filing resolution plans under the Dodd-Frank Act
- Advised money center bank on Volcker Rule and trade finance activities

Capabilities

- Capital Markets
- Corporate
- Finance
- Fintech & Blockchain

- Prepared training materials covering Federal and New York law compliance matters
- Advised foreign banks on new remittance rules of the Consumer Financial Protection Bureau

Viewpoints

September 22, 2020

FinCEN's Proposed AML Enhancements for Money Service Businesses and Other Financial Institutions

Sullivan Client Alert

July 24, 2020

OCC Gives Banks Keys to the Crypto Kingdom

Sullivan Client Alert

July 13, 2020

Piggyback Ride to New York BitLicense

Sullivan Client Alert

April 2, 2020

Digital Currency Developments in Hawaii

Sullivan Client Alert